

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,714	12/29/2000	Nancy Williams	1881-03

## CONFIRMATION NO. 8333

## FORMALITIES LETTER



\*OC000000005758891\*

Bickel & Brewer  
 4800 Bank One Center  
 1717 Main Street  
 Dallas, TX 75201

Date Mailed: 02/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/18/2001 HND0R1 00000085 09751714

## FILED UNDER 37 CFR 1.53(b)

01 FC:101	710.00	OP	
02 FC:105	130.00	OP	<i>Filing Date Granted</i>
03 FC:103	234.00	OP	

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$234.
  - \$234 for 13 total claims over 20.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1074.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

---

*AF*

*A copy of this notice **MUST** be returned with the reply.*

---

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



H-A

4/16/01

See for \$  
#3

**BICKEL & BREWER**

ATTORNEYS AND COUNSELORS

4800 BANK ONE CENTER

1717 MAIN STREET

DALLAS, TEXAS 75201

PHONE: (214) 653-4000

FAX: (214) 653-1015

[www.bickelbrewer.com](http://www.bickelbrewer.com)

885 THIRD AVENUE  
SUITE 3040  
NEW YORK, NEW YORK 10022  
(212) 489-1400

April 13, 2001

**VIA EXPRESS MAIL ET100952715US**

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, DC 20231

RE: U.S. Patent Application 09/751,714 for "FINANCIAL MANAGEMENT METHOD AND SYSTEM" filed 12/29/00.  
Attorney Docket No.: 1881-03

Dear Sir:

Enclosed for filing in connection with the above-referenced patent application please find:

1. Response to Notice to File Missing Parts;
2. Notice to File Missing Parts of Application;
3. Declaration and Power of Attorney;
4. Letter to Draftsman;
5. Formal Drawings;
6. Check in the amount of \$1074.00 for response to missing parts; and
7. Post Card Receipt.

If you have any questions or comments regarding any of the enclosed, please do not hesitate to contact the undersigned.

Sincerely,

Daniel F. Perez  
Registration No.: 33,755

DFP/dgf

Enclosures

cc: Peter J. Muñiz



#3

Attorney Docket No. 1881-03

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

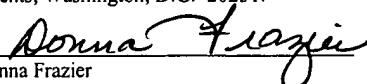
In Re Application:

Nancy Williams, et al.

Serial No. 09/751,714

Filed: 12/29/2000

"EXPRESS MAIL" mailing label number ET100952715US, Date of Deposit: April 13, 2001. I certify that the above correspondence is being deposited with the United States Postal Service "Express Mail" Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Donna Frazier

For: FINANCIAL MANAGEMENT METHOD AND SYSTEM

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

**RESPONSE TO  
NOTICE TO FILE MISSING PARTS OF APPLICATION**

In response to the Notice to File Missing Parts of Application mailed February 13, 2001, a copy of which is returned herewith, Applicant respectfully submits this response.

**Declaration and Power of Attorney**

As required by the Notice of Missing Parts of Application, Applicant hereby encloses an executed Declaration and Power of Attorney.

**Required Fees**

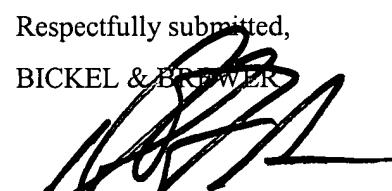
Submitted herewith is a check for \$1074.00 to cover the basic filing fee, additional claim fee, and the surcharge set forth in 37 C.F.R. 1.16(e). Applicant, therefore, respectfully submits that no additional fees are due in excess of the enclosed check.

**Conclusion**

Applicant respectfully submits that all required parts for the application have now been filed and that no additional fees are required.

Respectfully submitted,

BICKEL & BREWER



---

Daniel F. Perez  
Registration No. 33,755

ATTORNEY FOR APPLICANT

Dated: April 13, 2001

4800 Bank One Center  
1717 Main Street  
(214) 653-4996 - Telephone  
(214) 653-1014 - Facsimile

112178.1



Attorney Docket No.: 1881-03

PATENT

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of: Nancy Williams, et al.

Serial No.: 09/751,714

Filed: December 29, 2000

Art Unit: 2164

For: FINANCIAL MANAGEMENT METHOD AND SYSTEM

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attn: Official Draftsperson

Dear Sir:

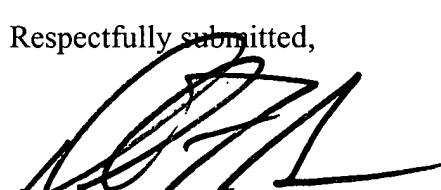
"EXPRESS MAIL" mailing label number ET100952715US  
Date of Deposit: April 13, 2001. I certify that the accompanying  
Application is being deposited with the United States Postal Service  
"Express Mail Post Office to Addressee" service under 37 CFR 1.10  
on the date indicated above and is addressed to Box New  
Application; Assistant Commissioner for Patents, Washington, DC  
20231.

  
Donna Frazier

**LETTER TO OFFICIAL DRAFTSPERSON**

Transmitted herewith is thirteen (13) sheets of formal drawings to be substituted for the  
informal drawings initially filed in the above-identified application for patent.

Respectfully submitted,

  
Daniel F. Perez  
Reg. No. 33,755

Date: April 13, 2001

ATTORNEY FOR APPLICANT

BICKEL & BREWER  
4800 Bank One Center  
1717 Main St.  
Dallas, TX 75201  
(214) 653-4000

136617.1